

DT02 Rec'd PCT/PTO 0 5 MAR 2005

RF:nr 3/4/05 392.892USN

EXPRESS MAIL LABEL NO. ED531569039US

Date of Mailing: 5 March 2005

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING FILING UNDER 35 U.S.C. 371**

Attorney Docket No.: 392.892USN

Int'l. Application No.: PCT/US03/27357  
Int'l. Filing Date: 29 AUGUST 2003  
Priority Date Claimed: 8 SEPTEMBER 2002  
Title of Invention: METHOD OF ESTABLISHING A FUTURES  
MARKET FOR POLLUTION FEES  
Applicant(s) for DO/ES/US: Anders Hoglund

Applicant herewith submits to the United States  
Designated/Elected/Office (DO/EO/US) the following items and  
other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 37 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office(RO/US).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☒ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).
11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A cover sheet in

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compliance with 37 C.F.R. 3.28 and 3.31 is included.

13. ☒ A FIRST preliminary amendment.
14. ☒ Applicant qualifies for Small Entity Status (37 C.F.R. 1.9(f) and 1.27(b)).
16. ☐ Other items or information: (if any)
17. ☒ Basic National Filing Fee - \$300.00  
National Stage Search Fee - \$500.00  
National Stage Examination Fee - \$200.00

CLAIMS AS FILED				
For	Number Filed	Number Extra	Fees \$1000.00	Rate
Total Claims	12 - 20	= 0	x \$50.00	= \$0.00
Ind. Claims	1 - 3	= 0	x \$200.00	= \$0.00

19. ☒ Reduction by 1/2 for filing by small entity, if applicable. Applicant qualifies as small entity.  
TOTAL FILING FEE: \$500.00
20. ☐ Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property.
21. ☒ A check in the amount of \$500.00 to cover the above fee is enclosed.
23. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0243.

Respectfully submitted,

Rolf Fasth

Repl. Ref: 07/11/2005 PROOKER 0015584600  
DA# 060243 Name/Number: 10526835  
FC: 9204 \$50.00 CR

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Rolf Fasth 07/11/2005 PROOKER 0015584600  
Registration Number: 10526835  
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FC: 9204 \$50.00 CR

PLEASE ASSOCIATE THIS  
APPLICATION WITH CUSTOMER  
NUMBER  
33369



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PATENT TRADEMARK OFFICE